

**Unofficial translation, in case of any discrepancies between the English version and the original Swedish version the latter will prevail.**

**The Swedish Gambling Authority's Code of Statutes**

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**The Swedish Gambling Authority's regulations on licence applications;**

adopted on 25 July 2018.

The Swedish Gambling Authority prescribes<sup>1</sup> the following pursuant to Chapter 16, § 2 of the Gambling Ordinance (2018:1475).

**Scope**

**§1** These regulations concern those applying for

1. a licence to provide gambling in accordance with Chapters 5-10 of the Gambling Act (2018:1138),
2. approval of international cooperation pursuant to Chapter 11, § 1 of the Gambling Act,
3. permit to hold cash gambling machines, token gambling machines and goods gambling machines pursuant to Chapter 11, § 7 of the Gambling Act.

**Expressions used in the regulations**

**§2** The terminology used in the regulations has the same meaning as in the Gambling Act (2018:1138) and the Gambling Ordinance (2018:1475).

**Application for a licence and permit**

**§3** An application for a licence for gambling in accordance with Chapter 5-10 of the Gambling Act (2018:1138) and an application concerning international cooperation pursuant to Chapter 11, § 1 of the Gambling Act shall be structured according to the forms provided by the Swedish Gambling Authority and in addition to what is specified in the appendices 1-6 shall also contain information

1. on the applicant, the applicant's management, board and representative as required to consider the suitability of the applicant in accordance with Chapter 4 of the Gambling Act,

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<sup>1</sup> See Directive (EU) 2015/1535 of the European Parliament and of the Council of 9 September laying down a procedure for the provision of information in the field of technical regulations and of rules on Information Society services (codification).

2. on the gambling service provider, the provider's staff and the staff's qualifications as required to be able to consider an application for a licence to provide gambling pursuant to Chapter 4 of the Gambling Act,
3. in order to consider whether the gambling service provider is suitable from a public standpoint and will conduct its operations in a sound and secure manner, and
4. on the applicant's gambling agents

Documents that are to be attached for natural persons who are subject to the consideration under Chapter 4 of the Gambling Act are to be current and are not to be older than six months at the time of application.

**§4** An application for a permit pursuant to Chapter 11, § 7 of the Gambling Act (2018:1138) shall be structured in accordance with the forms provided by the Swedish Gambling Authority and in addition to what is specified in appendix 7 shall also contain information

1. on the applicant, the applicant's management and board as required to consider the suitability of the applicant in accordance with Chapter 4 of the Gambling Act, and

2. on the gambling service provider, the provider's staff and the staff's qualifications as required to be able to consider an application for a licence to hold cash gambling machines, token gambling machines and goods gambling machines pursuant to Chapter 4 of the Gambling Act.

Documents that are to be attached for natural persons who are subject to the consideration under Chapter 4 of the Gambling Act are to be current and are not to be older than six months at the time of application.

**§5** If the applicant is not in the population register or established in Sweden, the application must include the corresponding documents according to §§ 3-4 from the country where the applicant is a registered resident or established.

In the event that a copy of a requested document is submitted, the copy is to be certified.

A copy of the original document is to be submitted together with a translation to Swedish.

**§6** The Swedish Gambling Authority may, if deemed necessary for the consideration of an application, request documents other than those specified in §§ 3-5.

The Swedish Gambling Authority may decide on an exemption from submitting a certain document according to §§ 3 or 4, if the requirements for the information are not deemed to be in proportion to the benefit that the Swedish Gambling Authority can gain from this information during the consideration, or if the requirements cannot be deemed adapted to the gambling that the application relates to.

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## **LIFS 2018:1**

1. These regulations enter into force on 1 January 2019.
2. The regulations are also applicable to licence applications submitted to the Swedish Gambling Authority after 1 August 2018 in reference to the period after 1 January 2019.

On behalf of the Swedish Gambling Authority

CAMILLA ROSENBERG

Johan Röhr

**Application for a licence to provide casino games at a casino, gambling with token gambling machines and lotteries in accordance with Chapter 5 of the Gambling Act (2018:1138)**

**1. The following documents are to be attached to the application**

- a. description of the gambling concept
- b. business plan
- c. articles of association
- d. description of ownership structure/group structure
- e. description of the operations
- f. description of the processing of personal data
- g. financial documents
- h. annual report and audit report for the past five completed business years
- i. economic forecast for the licence period applied for with speculations and comments from management
- j. financial plan
- k. certificate of freedom from debt or a debt report from the Swedish Enforcement Authority
- l. extract from tax account from the Swedish Tax Agency
- m. certificate of incorporation
- n. judgment and official decision from government authorities other than the Swedish Gambling Authority in cases to which the applicant has been party and which concern a gambling service provider

**2. For natural persons who are subject to the consideration under Chapter 4 of the Gambling Act (2018:1138), the following documents are also to be attached**

- a. extract from the population register and a certified copy of an identification document
- b. certificate of freedom from bankruptcy from the Swedish Companies Registration Office
- c. certificate from the Swedish Companies Registration Office indicating that a trading ban is not in effect
- d. certificate of freedom from trusteeship
- e. list of employment that has significance to the licence application
- f. criminal records for persons not registered as a resident in Sweden and for persons
- g. who have been a registered resident in another country besides Sweden
- h. certificate of freedom from debt or a debt report from the Swedish Enforcement Authority or a corresponding document from the countries where the person has lived in the past five years
- i. extract from tax account from the Swedish Tax Agency

**3. When applying for a licence to provide gambling with token gambling machines placed in a restaurant in accordance with Chapter 5, § 7 of the Gambling Act (2018:1138), in addition to 1-2 the following documents are to be attached**

In the event that the documents 1-2 already exist in a case where the Swedish Gambling Authority has granted a licence, the applicant can refer to this documentation when applying for a licence to provide gambling with token gambling machines.

- a. permit to serve alcohol in accordance with Chapter 8, § 14 of the Swedish Alcohol Act (2010:1622)
- b. the section of the permit to serve alcohol or conditions appendix to the permit where the serving area is described or is drawn out
- c. document showing the scope of the restaurant operation and the net gambling profit for the token machine gambling, for a consecutive period of at most twelve months
- d. documents showing that technical requirements for the gambling service provider are met
  - documentation for completed inspection, testing and certification in accordance with Chapter 16, § 3 of the Gambling Act
  - documentation showing the evaluation methods that have been used in the inspection, testing and certification processes
  - documentation showing that the accredited body fulfils the requirements in Chapter 3 of the Swedish Gambling Authority's regulations and general advice on technical requirements and accreditation of bodies for inspection, testing and certification of gambling service providers (LIFS 2018:8).

**4. When applying for a licence to provide gambling with token gambling machines in conjunction with bingo, in accordance with Chapter 5, § 7 of the Gambling Act (2018:1138), in addition to 1-2 the following documents are to be attached**

In the event that the documents 1-2 already exist in a case where the Swedish Gambling Authority has granted a licence, the applicant can refer to this documentation when applying for a licence to provide gambling with token gambling machines.

- a. bingo permit issued by an authority other than the Swedish Gambling Authority
- b. drawing/sketch of the premises where the bingo game can be conducted
- c. document indicating the share of the token machine gambling that shall go to the bingo organiser under the current agreement with the applicant (contribution margin) and the return from the bingo game, for a consecutive period of at most twelve months
- d. documents showing that technical requirements for the gambling service provider are met
  - documentation for completed inspection, testing and certification in

- accordance with Chapter 16, § 3 of the Gambling Act
- documentation showing the evaluation methods that have been used in the inspection, testing and certification processes
- documentation showing that the accredited body fulfils the requirements in Chapter 3 of the Swedish Gambling Authority's regulations and general advice on technical requirements and accreditation of bodies for inspection, testing and certification of gambling service providers (LIFS 2018:8).

**5. When applying for a licence to provide a lottery in accordance with Chapter 5, § 1 of the Gambling Act (2018:1138), in addition to 1-2 the following documents are to be attached**

- a. documents showing that technical requirements for the gambling service provider are met
  - documentation for completed inspection, testing and certification in accordance with Chapter 16, § 3 of the Gambling Act
  - documentation showing the evaluation methods that have been used in the inspection, testing and certification processes
  - documentation showing that the accredited body fulfils the requirements in Chapter 3 of the Swedish Gambling Authority's regulations and general advice on technical requirements and accreditation of bodies for inspection, testing and certification of gambling service providers (LIFS 2018:8).

**Application for a licence to provide gambling for purposes in the public interest in accordance with Chapter 6 of the Gambling Act (2018:1138)**

**1. The following documents are to be attached to the application**

- a. description of gambling concept including
  - calculation of return from the lottery
  - description of how the return will be distributed
  - description of how the winnings percentage or payout table is communicated to the players
- b. copy of adopted rules
- c. copy of minutes containing a decision to submit an application to provide gambling for purposes in the public interest
- d. copy of minutes indicating the composition of the board at the time of application
- e. a description of the part of the applicant's operations that concerns the gambling activities
- f. description of the processing of personal data
- g. financial documents
  - annual report, including report of the board and audit report, for the past five completed business years or for the period during which the applicant has conducted business if this is less than five years, or the annual accounts for the past five years or for the period during which the applicant has conducted business if this is less than five years
  - economic forecast for the licence period applied for with speculations and comments from management
  - financial plan
- h. documents showing that technical requirements for the gambling service provider are met
  - documentation for completed inspection, testing and certification in accordance with Chapter 16, § 3 of the Gambling Act
  - documentation showing the evaluation methods that have been used in the inspection, testing and certification processes
  - documentation showing that the accredited body fulfils the requirements in Chapter 3 of the Swedish Gambling Authority's regulations and general advice on technical requirements and accreditation of bodies for inspection, testing and certification of gambling service providers (LIFS 2018:8).
- i. certificate of freedom from debt or a debt report from the Swedish Enforcement Authority or a corresponding document from the countries where the applicant has lived in the past five years
- j. extract from tax account from the Swedish Tax Agency

- k. previous or current licence/permit if such has been issued in a country other than Sweden
- l. rejection of an application for a licence/permit for gambling in a country other than Sweden
- m. revocation of licence/permit in a country other than Sweden
- n. judgment and official decision from government authorities other than the Swedish Gambling Authority in cases to which the applicant has been party and which concern a gambling service provider

**2. For natural persons who are subject to the consideration under Chapter 4 of the Gambling Act (2018:1138), the following documents are also to be attached**

- a. extract from the population register and a certified copy of an identification document
- b. certificate of freedom from bankruptcy from the Swedish Companies Registration Office
- c. certificate from the Swedish Companies Registration Office indicating that a trading ban is not in effect
- d. certificate of freedom from trusteeship
- e. list of employment that has significance to the licence application
- f. criminal records or equivalent for persons not registered as a resident in Sweden and for persons who have been a registered resident in another country besides Sweden
- g. certificate of freedom from debt or a debt report from the Swedish Enforcement Authority or a corresponding document from the countries where the applicant has lived in the past five years
- h. extract from tax account from the Swedish Tax Agency

**3. The following documents are to be attached to an application for a licence for a local pool gambling in accordance with Chapter 6, § 8 of the Gambling Act (2018:1138)**

- a. description of the gambling concept
- b. copy of adopted rules
- c. copy of minutes containing a decision to submit an application to provide gambling for purposes in the public interest
- d. copy of minutes indicating the composition of the board at the time of application
- e. annual report, including report of the board or audit report, for the most recent business year



**Application for a licence to provide commercial online gambling and betting in accordance with Chapters 7 and 8 of the Gambling Act (2018:1138)**

**1. The following documents are to be attached to the application**

- a. description of the gambling concept
- b. business plan
- c. description or ownership structure/group structure
- d. description of the operations
- e. description of the processing of personal data
- f. financial documents
  - annual report for the past five completed business years or for the period during which the applicant has conducted business if this is less than five years, or the annual accounts for the past five years or for the period during which the applicant has conducted business if this is less than five years, or a capital adequacy guarantee document in the event that the applicant is a startup
  - economic forecast for the licence period applied for with speculations and comments from management
  - financial plan
- g. documents showing that technical requirements for the gambling service provider are met
  - documentation for completed inspection, testing and certification in accordance with Chapter 16, § 3 of the Gambling Act
  - documentation showing the evaluation methods that have been used in the inspection, testing and certification processes
  - documentation showing that the accredited body fulfils the requirements in Chapter 3 of the Swedish Gambling Authority's regulations and general advice on technical requirements and accreditation of bodies for inspection, testing and certification of gambling service providers (LIFS 2018:8)
- h. certificate of freedom from debt or a debt report from the Swedish Enforcement Authority or a corresponding document from the countries where the applicant has lived in the past five years
- i. extract from tax account from the Swedish Tax Agency
- j. previous or current licence/permit if such has been issued in a country other than Sweden
- k. rejection of an application for a licence/permit for gambling in a country other than Sweden
- l. revocation of licence/permit in a country other than Sweden
- m. judgment and official decision from government authorities other than the Swedish Gambling Authority in cases to which the applicant has been party and which concern a gambling service provider

**2. For natural persons who are subject to the suitability consideration under Chapter 4 of the Gambling Act (2018:1138), the following documents are also to be attached**

- a. extract from the population register and a certified copy of an identification document
- b. certificate of freedom from bankruptcy from the Swedish Companies Registration Office
- c. certificate from the Swedish Companies Registration Office indicating that a trading ban is not in effect
- d. certificate of freedom from trusteeship
- e. list of employment that has significance to the licence application
- f. criminal records or equivalent for persons not registered as a resident in Sweden and for persons who have been a registered resident in another country besides Sweden
- g. certificate of freedom from debt or a debt report from the Swedish Enforcement Authority or a corresponding document from the countries where the applicant has lived in the past five years
- h. extract from tax account from the Swedish Tax Agency

**3. For a legal person, the following documents are also to be attached**

- a. certificate of incorporation or equivalent
- b. articles of association or equivalent
- c. power of attorney for a representative

**4. For a legal person that is a qualified owner under Chapter 4 of the Gambling Act (2018:1138), the following documents are also to be attached**

- a. certificate of incorporation or equivalent
- b. financial documents
  - annual report and audit report for the past five completed business years, or a capital adequacy guarantee document in the event that the business is a startup
- c. previous or current licence/permit if such has been issued in a country other than Sweden
- d. rejection of an application for a licence/permit for gambling in a country other than Sweden
- e. revocation of licence/permit in a country other than Sweden
- f. judgment and official decision from government authorities other than the Swedish Gambling Authority in cases to which the qualified owner has been party and which concern a gambling service provider

**Application for a licence to provide land-based commercial gambling in accordance with Chapter 9 of the Gambling Act (2018:1138)**

**1. The following documents are to be attached to the application**

- a. description of the gambling concept
- b. business plan
- c. description or ownership structure/group structure
- d. description of the operations
- e. description of the processing of personal data
- f. financial documents
  - annual report for the past five completed business years or for the period during which the applicant has conducted business if this is less than five years, or the annual accounts for the past five years or for the period during which the applicant has conducted business if this is less than five years, or a capital adequacy guarantee document in the event that the business is a startup
  - economic forecast for the licence period applied for with speculations and comments from management
  - financial plan
- g. certificate of freedom from debt or a debt report from the Swedish Enforcement Authority or a corresponding document from the countries where the applicant has lived in the past five years
- h. extract from tax account from the Swedish Tax Agency
- i. previous or current licence/permit if such has been issued in a country other than Sweden
- j. rejection of an application for a licence/permit for gambling in a country other than Sweden
- k. revocation of licence/permit in a country other than Sweden
- l. judgment and official decision from government authorities other than the Swedish Gambling Authority in cases to which the applicant has been party and which concern a gambling service provider

**2. For natural persons who are subject to the suitability consideration under Chapter 4 of the Gambling Act (2018:1138), the following documents are also to be attached**

- a. extract from the population register and a certified copy of an identification document
- b. certificate of freedom from bankruptcy from the Swedish Companies Registration Office
- c. certificate from the Swedish Companies Registration Office indicating that a trading ban is not in effect
- d. certificate of freedom from trusteeship
- e. list of employment during the past ten years
- f. criminal records or equivalent for persons not registered as

a resident in Sweden and for persons who have been a registered resident in a country other than Sweden

- g. certificate of freedom from debt or a debt report from the Swedish Enforcement Authority or a corresponding document from the countries where the applicant has lived in the past five years
- h. extract from tax account from the Swedish Tax Agency

**3. For a legal person, the following documents are also to be attached**

- a. certificate of incorporation or equivalent
- b. articles of association or equivalent
- c. power of attorney for a representative

**4. For a legal person that is a qualified owner under Chapter 4 § 3 of the Gambling Act (2018:1138), the following documents are also to be attached**

- a. certificate of incorporation or equivalent
- b. financial documents  
annual report and audit report for the past five completed business years, or a capital adequacy guarantee document in the event that the business is a startup
- c. previous or current licence/permit if such has been issued in a country other than Sweden
- d. rejection of an application for a licence/permit for gambling in a country other than Sweden
- e. revocation of licence/permit in a country other than Sweden
- f. judgment and official decision from government authorities other than the Swedish Gambling Authority in cases to which the qualified owner has been party and which concern a gambling service provider

**5. When applying for a licence for casino gambling in accordance with Chapter 9, § 2 of the Gambling Act (2018:1138), in addition to 1-4 the following documents are to be attached**

- a. permit from the Swedish Police Authority for a public entertainment event within an amusement park or similar facility, or
- b. a permit to serve alcohol or justification for why the gambling can be provided without nuisance in conjunction with the activity

- 6. For an application for a licence for goods gambling machines pursuant to Chapter 9, § 3 (2018:1138), in addition to 1-4 and with the exception of an economic forecast and financial plan, the following documents are to be attached**
- a. permit from the Swedish Police Authority for a public event in the form of a funfair or similar, that is not ambulatory, and a
  - b. detailed description of the gambling location such as the number and type of amusement attractions, or
  - c. a description of the ambulatory funfair, and
  - d. opening hours for the season or a preliminary travel plan for an ambulatory funfair

**Application for a licence to provide gambling on a vessel in international traffic in accordance with Chapter 10 of the Gambling Act (2018:1138)**

**1. The following documents are to be attached to the application**

- a. description of the gambling concept
- b. business plan
- c. description or ownership structure/group structure
- d. description of the operations
- e. description of the processing of personal data
- f. financial documents
  - annual report for the past five completed business years or for the period during which the applicant has conducted business if this is less than five years, or the annual accounts for the past five years or for the period during which the applicant has conducted business if this is less than five years, or a capital adequacy guarantee document in the event that the business is a startup
- g. certificate of freedom from debt or a debt report from the Swedish Enforcement Authority or a corresponding document from the countries where the applicant has lived in the past five years
- h. extract from tax account from the Swedish Tax Agency
- i. previous or current licence/permit if such has been issued in a country other than Sweden
- j. rejection of an application for a licence/permit for gambling in a country other than Sweden
- k. revocation of licence/permit in a country other than Sweden
- l. judgment and official decision from government authorities other than the Swedish Gambling Authority in cases to which the applicant has been party and which concern a gambling service provider

**2. For natural persons who are subject to the suitability consideration under Chapter 4 of the Gambling Act (2018:1138), the following documents are also to be attached**

- a. extract from the population register and a certified copy of an identification document
- b. certificate of freedom from bankruptcy from the Swedish Companies Registration Office
- c. certificate from the Swedish Companies Registration Office indicating that a trading ban is not in effect

- d. certificate of freedom from trusteeship
- e. list of employment that has significance to the licence application
- f. criminal records or equivalent for persons not registered as a resident in Sweden and for persons who have been a registered resident in a country other than Sweden
- g. certificate of freedom from debt or a debt report from the Swedish Enforcement Authority or a corresponding document from the countries where the person has lived in the past five years
- h. extract from tax account from the Swedish Tax Agency

**3. For a legal person, the following documents are also to be attached**

- a. certificate of incorporation or equivalent
- b. articles of association or equivalent
- c. power of attorney for a representative

**4. For a legal person that is a qualified owner under Chapter 4 § 3 of the Gambling Act (2018:1138), the following documents are to be attached**

- a. certificate of incorporation
- b. financial documents
  - annual report and audit report for the past five completed business years, or a capital adequacy guarantee document in the event that the business is a startup
- c. previous or current licence/permit if such has been issued in a country other than Sweden
- d. rejection of an application for a licence/permit for gambling in a country other than Sweden
- e. revocation of licence/permit in a country other than Sweden
- f. judgment and official decision from government authorities other than the Swedish Gambling Authority in cases to which the qualified owner has been party and which concern a gambling service provider

**The following documents are to be attached to a licence application regarding the party that the applicant specifies as representative pursuant to Chapter 4, § 4 of the Gambling Act (2018:1138)**

- a. extract from the population register and a certified copy of an identification document
- b. power of attorney in accordance with Chapter 4, § 5 of the Gambling Act
- c. certificate of freedom from bankruptcy from the Swedish Companies Registration Office
- d. certificate from the Swedish Companies Registration Office indicating that a trading ban is not in effect
- e. certificate of freedom from trusteeship
- f. list of employment that has significance to the application
- g. extract from the Swedish Police Authority's criminal records and the equivalent from the countries where the applicant has lived
- h. certificate of freedom from debt or a debt report from the Swedish Enforcement Authority or a corresponding document from the countries where the person has lived in the past five years
- i. extract from tax account from the Swedish Tax Agency
- j. previous or current licence/permit if such has been issued in a country other than Sweden
- k. rejection of an application for a licence/permit for gambling in a country other than Sweden
- l. revocation of licence/permit in a country other than Sweden
- m. judgment and official decision from government authorities other than the Swedish Gambling Authority in cases to which the representative has been party and which concern a gambling service provider



**Application for permit to hold cash gambling machines, token gambling machines goods gambling machines in accordance with Chapter 11, §§ 7-8 of the Gambling Act (2018:1138)**

**1. The following documents are to be attached to the application**

- a. description of ownership structure/group structure of the business
- b. description of the processing of personal data
- c. certificate of freedom from debt or a debt report from the Swedish Enforcement Authority or a corresponding document from the countries where the applicant has lived in the past five years
- d. extract from tax account from the Swedish Tax Agency
- e. judgment and official decision from government authorities other than the Swedish Gambling Authority in cases to which the applicant has been party and which concern a gambling service provider

**2. For natural persons, the following documents are also to be attached to the application**

- a. extract from the population register and a certified copy of an identification document
- b. certificate of freedom from bankruptcy from the Swedish Companies Registration Office
- c. certificate from the Swedish Companies Registration Office indicating that a trading ban is not in effect
- d. certificate of freedom from trusteeship
- e. list of employment that has significance to the application criminal records or equivalent for persons not registered as a resident in Sweden and for persons who have been a registered resident in a country other than Sweden

**3. For a legal person, the following are also to be attached to the application**

- a. certificate of incorporation or equivalent
- b. articles of association or equivalent

**4. For a legal person that is a qualified owner under Chapter 4 § 3 of the Gambling Act (2018:1138), the following are also to be attached to the application**

- a. certificate of incorporation or equivalent
- b. certificate of freedom from debt or a debt report from the Swedish Enforcement Authority or a corresponding document from the countries where the person has lived

in the past five years

- c. extract from tax account from the Swedish Tax Agency
- d. judgment and official decision from government authorities other than the Swedish Gambling Authority in cases to which the qualified owner has been party and which concern a gambling service provider

*Appendix 8*

**When applying for approval of international cooperation pursuant to Chapter 11, § 1 of the Gambling Act (2018:1138), the following documents are to be attached**

- a. cooperation agreement regarding the international partnership that the licence holder has concluded with the partner
- b. the partner's permit or equivalent to provide the intended gambling services
- c. the partner's permit or equivalent to cooperate internationally
- d. description of the gambling concept to which the cooperation agreement relates
- e. rules for the gambling covered by the partnership